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## Vacancy Notice

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<b>Role title:</b>	Senior Compliance & Assurance Officer		
<b>Location</b>	London		
<b>Reporting to:</b>	Head of Compliance		
<b>Summary of the role:</b>	<p>Based in London, this senior role supports the Head of Compliance and the Chief Risk Officer in ensuring that the PIDG companies remain compliant with the PIDG Code of Conduct, PIDG Operating Policies and Procedures (OPPs) and all applicable laws, rules, guidance &amp; principles.</p> <p>This is a broad, hands-on role in which you are expected to take ownership for your work. You will be responsible for delivering the compliance monitoring programme; and other aspects of the role include regulatory development and rule analysis, assisting with updating of policies and procedures, monitoring and investigating complaints and incidents, production of ad hoc reports, and assisting with design and delivery of training as required from time to time.</p>		
<b>Apply to:</b>	<p><b>Haben Solomon</b> <b>Director</b></p> <p><b>HAMMONDCLARKE</b></p> <p>☎ 0203 854 3937          📱 07458 142 721          ✉ haben.solomon@hammond-clarke.co.uk          🌐 www.hammond-clarke.co.uk</p>		
<b>Launch date:</b>		<b>Closing date:</b>	

\*PIDG is an equal opportunities employer and values the diversity of all its employees, associates, owners, service providers and customers